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# AN ANALYSIS OF STUDENT PARTICIPATION IN TEACHER EVALUATION IN HIGHER EDUCATION

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# ABSTRACT

The article aims to understand which factors are associated with student participation in teaching evaluations by identifying students' profiles that are more and less likely to participate. We consider students' characteristics and programs' characteristics. The data are from the semiannual teaching evaluation from a private higher education institution between 2016 and 2018. We used multilevel logistic regression and the results indicate that both individual and program characteristics can affect the probability of student participation in teaching evaluation, i.e., being female, over 41 years old, receiving funding or scholarship, as well as being enrolled in a teacher licensure program or a full-time program are associated to a higher probability of participating in teaching evaluations.

**KEYWORDS** EVALUATION OF TEACHING • HIGHER EDUCATION • STUDENT PARTICIPATION.

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# ANÁLISE DA PARTICIPAÇÃO DISCENTE NA AVALIAÇÃO DOCENTE NO ENSINO SUPERIOR

# RESUMO

O objetivo do artigo é compreender quais fatores influenciam a participação dos alunos em um processo de avaliação docente, identificando os perfis mais e menos propensos a participar, incluindo características individuais e dos cursos aos quais estão vinculados. Os dados analisados são da avaliação docente semestral de uma IES privada entre 2016 e 2018. O modelo de análise é a regressão logística multinível. Os resultados apontam que características individuais e também dos cursos afetam a probabilidade de participação discente na avaliação dos professores: ser mulher, acima de 41 anos de idade, beneficiário de programas de financiamento ou bolsa de estudos, em um curso de licenciatura ou em um curso integral tendem a aumentar a probabilidade de participação na avaliação docente.

PALAVRAS-CHAVE AVALIAÇÃO DOCENTE • EDUCAÇÃO SUPERIOR • PARTICIPAÇÃO DO ALUNO.

# ANÁLISIS DE LA PARTICIPACIÓN DISCENTE EN LA EVALUACIÓN DOCENTE EN LA ENSEÑANZA SUPERIOR

# RESUMEN

El objetivo del artículo es comprender qué factores influyen en la participación de los estudiantes en un proceso de evaluación docente, identificando los perfiles con mayor y menor probabilidad de participar, incluyendo las características individuales y los cursos a los que están vinculados. Los datos analizados provienen de la evaluación docente semestral de una IES privada entre los años 2016 y 2018. El modelo de análisis es la regresión logística multinivel. Los resultados muestran que las características individuales, así como las de los cursos, afectan a la probabilidad de participación discente en la evaluación de los profesores: el ser de género femenino, mayor de 41 años, beneficiario de programas de financiamiento o becas, en un curso de licenciatura o en un curso integral, aumenta la probabilidad de participar en la evaluación docente.

PALABRAS CLAVE EVALUACIÓN DOCENTE • EDUCACIÓN SUPERIOR • PARTICIPACIÓN DEL ALUMNO.

### INTRODUCTION

The study focuses on understanding what factors may influence students' participation in teacher evaluation processes. We considered the characteristics of both individuals and their higher education programs. In the case studied, all students are invited to participate, which means that the evaluation is intended to be a census rather than a sample-based study. However, not all students participate, which potentially biases the results. Therefore, it is important to get further insights into the profiles of respondents. Also, based on these results, strategies can be developed to increase student participation.

Internationally, the field of studies in which investigations about student evaluation of teachers are conducted is known as student evaluation of teaching (SET). In this field, several scientific contributions seek to understand what determines the evaluation of teachers, i.e., what factors can explain the grade given by students. The studies consider the characteristics of teachers, programs, courses and the students who evaluate; the findings are diverse (McPHERSON; JEWELL; KIM, 2009; ANDRADE; ROCHA, 2012; JOYE; WILSON, 2015; CHOI; KIM, 2014; DURAKU, 2014; FAH; OSMAN, 2011; GRUBER *et al.*, 2012; SPOOREN *et al.*, 2017).

Most of these studies, however, face problems regarding the lack of diversity and heterogeneity of data, since the majority refers to small groups of students in specific programs for particular periods, which makes it impossible to perform time-series analyses (McPHERSON, 2006). The evaluation processes explored by SET studies usually take place in the classroom, through physical questionnaires about a particular teacher, based on a sample of previously selected students. Thus, the focus is usually on understanding the scores given by the selected students, since they represent the total population of students – in other words, it is assumed that the selected samples are not markedly biased.

The case we analyze has a different scenario: the questionnaires are administered online to all undergraduate students enrolled in the institution (population) with a 30-day deadline for completion. In this context, the goal of the study is to analyze the context that precedes the explanation of grades given to teachers by students, since it focuses on understanding what leads students to participate in teacher evaluation processes. In other words, the question that guides our study is: what characteristics of both students and their program are associated with student participation in teacher evaluation in higher education? Although we seek a general answer that may even help increase participation rates in evaluations conducted in higher education institutions, we are aware that the analyzed case has specific characteristics.

We start from an exploratory data analysis referring to the last semester of a cycle of six semesters of teaching evaluation. The participants were students enrolled in 163 undergraduate in-person programs in different knowledge areas at a private higher education institution. In addition to the studies that make up the SET field, this investigation is also based on the literature about survey methodologies and how the results are influenced by the quantity and quality of collected responses.

One of the main disadvantages and difficulties pointed out by the literature for online surveys is the difficulty ensuring the participation of respondents (WACHELKE *et al.*, 2014; ANDERSON; CAIN; BIRD, 2005; FAN; YAN, 2010). Just as it is impossible to ensure participation, so too is it impossible to control the results for any selection bias. In other words, the survey method does not allow controlling for the possibility that individuals of a certain profile have a higher or lower participation rate, which may influence the results.

Therefore, it is necessary to consider strategies to increase response rates in online surveys in order to minimize biases. To that end, first, it is necessary to know the profile of respondents and, mainly, what factors can determine their participation (or lack thereof) in online surveys. In the case studied, the central hypothesis is that the characteristics students and programs may influence students' probability of participating or not in teacher evaluation. The discussion on participation is essential to seek elements to motivate students to participate in the evaluations conducted at universities, as well as to understand the results themselves while considering the analysis of any selectivity effects among respondents. Therefore, the importance of this study is both methodological and analytical.

The analysis considered both descriptive and inferential statistics and used multilevel logistic regression in order to consider the two analysis levels (individual and program). The main results indicate that individual and program characteristics influence students' probability to participate in teaching evaluation, with female students over 41 years of age and served by government funding programs or scholarships being more likely to participate. In addition, students enrolled in the early stages of programs, and students who dedicated a total of 26 to 30 class hours per week are also more likely to participate in the evaluation. Finally, being enrolled in full-time teacher licensure programs or programs with a lower average student age seems to increase the students' probability to participate in the teacher evaluation.

This article has four sections besides this introduction and the final considerations. Firstly, we present the case studied by describing the teaching evaluation process as it is carried out in the institution. Then, we discuss the theoretical approaches on which the research question and the proposed analysis are based. In the third section, we present the data and methods used and, finally, in the last section, the results of our analyses.

# **TEACHER EVALUATION IN HIGHER EDUCATION: OUR CASE STUDY**

The evaluation of teaching at this institution stems from the need to establish an instrument that provides foundations for evaluating how teachers perform their duties. It is regulated by the Guidelines on Teacher Career in order to help with teachers' self-reflection and promote improvements in their teaching skills. In addition, teacher evaluation formalizes the perception of undergraduate students and teachers about the teaching conducted in the current semester. Finally, it is worth emphasizing that teacher evaluation provides foundations for human resources policies, program diagnoses, and teacher development.

The Internal Evaluation Committee (CPA) is the sector responsible for evaluating teaching in undergraduate classes (in-person and online), as well as other activities conducted by teachers such as program coordination and the participation in committees.<sup>1</sup> With regard to undergraduate classes, teachers are evaluated in terms of their teaching in courses (in-person or distance learning) in the different undergraduate programs, at the institution's different campuses/units.

The process consists of the following steps: (1) defining the criteria, instruments and research methodology;<sup>2</sup> (2) developing instruments in the institution's system for data collection; and (3) disclosing results to the evaluated persons and the university's decision-making bodies (directors, department heads and program coordinators). Because it is carried out periodically, it constitutes a permanent evaluation process regarding undergraduate classes and the work of faculty bodies, as part of the program's coordination group.

Data collection is semiannual and refers to the current semester to ensure that the students' experience with the teacher is recent in their memory. It is intentionally census-based and takes place through questionnaires registered in the institution's system which become available electronically for the participation of students and teachers. The questionnaires should be completed by all teachers (who carry out their self-assessment), by students (concerning the teacher's performance for each ongoing course in the semester) and by the program's faculty body (for each program the teacher teaches in), with regard to his/her teaching.

- This teaching evaluation format was administered in the period from 2016 to 2018 and has changed since 2019 due to changes in the structure of the committees and Structural Teaching Centers (NDE) of undergraduate programs.
- 2 With regard to defining the instruments used in the process, all questionnaires become available to the entire academic community for public consultation at a time prior to their implementation, for about 30 days, in order to invite everyone to engage in a collective and participatory process to define de evaluation instruments. Therefore, these instruments are developed through the collective construction of the questionnaires. The CPA always makes sure to balance contributions from the academic community, while considering the international and national literature on research and evaluation methodology and the institution's specific features.

The questionnaires can be accessed over a web interface through user login and password, during approximately 30 days in the academic semester, beginning about 40 days before the end of the semester in order to prevent any influences of students' final grades on the evaluation. As a way of mobilizing people to participate and therefore maximize the response rate, the evaluation process is promoted through posters at the entrance to campuses, informational emails, and messages displayed in other media internal to the institution. The evaluation data we will analyze in this study refers only to the participation of students in the evaluation of teachers' teaching in undergraduate in-person classes.

# **REFLECTIONS ABOUT EVALUATION WITH STUDENTS' PARTICIPATION**

Studies on student evaluation of teaching have been an ongoing debate since the 1970s, mainly in the United States. These studies tend to focus, for the most part, on the factors determining the grades that teachers receive from their students. The studies observe (i) characteristics related to the classes to whom the courses are taught, such as number of students enrolled, school shift, number of classes per week, course level (basic, intermediate and advanced); (ii) characteristics of the students engaged in the evaluation, such as sex, race, age, program's knowledge area; and (iii) characteristics of the teachers being evaluated, such as sex, race, age, time of experience as a teacher, education, didactic qualification, class hours dedicated to the institution (McPHERSON; JEWELL; KIM, 2009; ANDRADE; ROCHA, 2012; JOYE; WILSON, 2015; CHOI; KIM, 2014; DURAKU, 2014; FAH; OSMAN, 2011; GRUBER *et al.*, 2012; SPOOREN *et al.*, 2017).

It is also essential to consider the studies on questionnaire administration methods and techniques, especially online surveys. This is a widely developed area, whose topics range from the cognitive aspects associated with the construction of questionnaires (BOWLING, 2005) to tools for improving the implementation of this type of data collection (CARNEIRO; DIB, 2011; WACHELKE *et al.*, 2014). One of the factors extensively discussed in the literature is the problem found in online surveys in general: respondents' low participation rate.

Several authors point out that implementing surveys through online platforms has both positive and negative practical implications. Among the advantages, it is worth mentioning the significant reduction in administration costs for large samples and the possibility of reaching very specific groups, in addition to automated routines for tabulation and analysis of collected data. The main disadvantage of this type of survey, on the other hand, is that it is difficult to ensure respondents' participation. Studies show that surveys based on online recruitment tend to have lower participation rates than traditional, face-to-face administration methods or the recruitment via traditional mail – the latter being more common in the United States (WACHELKE *et al.*, 2014; ANDERSON; CAIN; BIRD, 2005; FAN; YAN, 2010).

Given this diagnosis, several efforts have been made to find alternatives to increase the participation of respondents in online surveys. The reason is that the advantages of administering this type of collection can bring many benefits to research in general, in addition to representing the evolution expected from the digitization of research methods and processes. In other words, the low response rate in online surveys is a problem that must be solved or minimized. Among the efforts found in the literature, most are related to the data collection process, i.e., the low response rate is attributed to respondents' difficulty accessing questionnaires.

Here, it is worth noting, however, that there is an important difference between the surveys carried out as described by these authors and the teacher evaluation analyzed in this article. First, the platform that hosts the survey is an institutional system to which all students have access to carry out other activities and find academic information. Therefore, it is an online system in which students have easy access to the questionnaires. In addition, the recruitment procedures carried out by the institution are not exclusively online-based, as said earlier.

Moss and Hendry (2002) argue that, in order for online course evaluation surveys to be successfully used, questionnaires should not be administered frequently; they should be short, have a simple design, and should not require a password, in addition to ensuring the anonymity of responses. Moreover, the authors highlight the importance of offering incentives for participation, such as a return about the survey's results. The authors conclude that besides greater efficiency in terms of lower administration costs, online surveys can be administered more quickly and allow a more agile calculation and distribution of results. Therefore, when correctly used both in methodological and operational terms, this technology increases teachers' capacity to provide feedback to students and implement real improvements in programs based on the inputs they get from the surveys.

In turn, Bowling (2005) points out that the main reasons for non-participation include the respondent's unwillingness to participate in the survey, the researcher's lack of ability, or means to contact the respondents (monitoring activity), or some communication barrier. Thus, for the author, non-participation and, consequently, the low response rate are influenced by the way the questionnaire is administered. However, we argue here that participation can be influenced but not determined by these factors, since respondents' motivation to participate or not in the survey depends on factors beyond the way the questionnaire is administered.

With this respect, Wachelke *et al.* (2014) propose implementing a respondent recruitment and monitoring system they call Online Collection with Face-to-Face

Recruitment (CORP, in Portuguese). Following this procedure, they believe it is possible to increase respondents' participation through face-to-face participant recruitment followed by collection via an online platform, with periodic reminders and contacts with respondents; at the end, respondents should get an online return with a participation certificate or the results of the survey.

Concerning student engagement, Nair, Adams and Mertova (2008) consider that the low level of student involvement in surveys evaluating the quality of higher education is due to the lack of incentives for participation. According to these authors, solutions must include creating a communication channel with students in order to highlight the importance and relevance of teacher evaluation for the institution and, consequently, for themselves. Institutional assessments are considered important to develop and improve teaching, as well as determine the management of teaching growth, among other factors.

Fan and Yan (2010) conducted a systematic review of the literature on surveys carried out on the internet and showed that the average response rate to this type of research is less than 11%. This means that for every 100 potential respondents, only 11 actually participate online. In addition, the authors argue that response rates can be affected by several factors of different natures. Once again, we emphasize that the context of the survey we analyze here is different: rather than a survey with wide online recruitment, the evaluation is conducted online by specifically targeting the group of students who can access the system where they can participate in the survey. Chart 1 presents a summary of the previous factors/studies.

The literature review carried out by the authors can help us delimit our study's research question and the central hypothesis. Before that, it is worth pointing out that, despite being systematically treated separately for analytic purposes, all the listed factors are interrelated. Concerning our research, we are interested in the factors whose nature is in the decision to participate in the survey, specifically regarding respondents' characteristics.

NATURE	FACTORS					
Development	Questionnaire content					
Development	Questionnaire presentation					
	Sampling method					
	Ways of contacting and delivering questionnaires to respondents					
Administration	Survey invitation format					
	Use of previous notices and reminders					
	Participation incentive system					
Decision to participate	Social desirability <sup>3</sup>					
	Respondents' characteristics					
Data collection	Software used					
	Data security					

CHART 1 - Summary of factors that affect response rate in online surveys

Source: Adapted from Fan and Yan (2010).

It is noteworthy that respondents' characteristics have been treated in the literature through different approaches: (i) by comparing response rates for a single survey across different populations; (ii) by studying how sociodemographic characteristics may affect response rates; and (iii) by measuring when and how personality traits may affect the decision to participate (FAN; YAN, 2010). In the present study, we focus on understanding the individual characteristics of students and the programs' characteristics that may influence students' probability of participating in teacher evaluation. Thus, the central hypothesis is that both individual (e.g., gender and age) and collective (e.g., program type) characteristics affect students' probability of participating in teacher evaluation.

## **DATA AND METHODS**

The following analyzes use data from the teacher evaluation questionnaires administered by the Internal Evaluation Committee (CPA) to students in undergraduate in-person programs, as well as information on the same students from the university's academic information system. These data allowed identifying a set of variables, as shown in Chart 2, which correspond to two measurement levels: the characteristics of students and the characteristics of the programs they were enrolled in.<sup>4</sup>

<sup>3</sup> In the original, it consists of social-level and sample-level factors. The former concerns a set of global characteristics of any society with an impact on participation in surveys, including the degree of survey fatigue in a given society (which is exposed to many procedures of this type), social cohesion, and the public stances expressed about the survey industry. According to the authors, these factors are relevant as they can affect trends in response rates in different forms of surveys (online or not) across society.

	VARIABLE	DESCRIPTION	MEASUREMENT		
Response	Participation	cipation Indicates whether the student Non-participant = 0 participated in the evaluation or not Participant = 1			
	Sex	Indicates student sex, whether female or male	Female = 0 Male = 1		
Student	Age group	Classifies student age according to established age groups	16 to 18 years = 1 19 to 22 years = 2 23 to 25 years = 3 26 to 30 years = 4 31 to 40 years = 5 Over 40 years = 6		
Level 1 -	Prouni or Fies	Indicates whether the student is served by a government scholarship or funding program at the time of enrollment	No = 0 Yes = 1		
Explanatory	Hours dedicated per week	Indicates the number of hours the student dedicates to the program per week	up to 15 hours = 1 16 to 20 hours = 2 21 to 25 hours = 3 26 to 30 hours = 4 Over 30 hours = 5		
	Stage in the program	Indicates how much of the program's time-length has been completed in the semester	up to 25% = 1 26 to 50% = 2 51 to 75% = 3 76 to 100% = 4 Over 100% = 5		
2 - Program	Туре	Indicates the type of program the student is enrolled in	Bachelor's = 0 Teacher licensure = 1 Technologist = 3 Others (open programs and students enrolled in separate courses) = 4		
	Shift	Indicates whether the student is enrolled in a full-time program	No = 0 Yes = 1		
Level	Average age	Student average age in the program	Average age of students enrolled in the program		
atory:	Average dedication	Average hours dedicated to the program by students	Average hours dedicated by students enrolled in the program		
Explan	Proportion of women	Proportion of female students enrolled in the program	Relative frequency of women enrolled in the program		
	Proportion of Prouni or Fies	Proportion of students who entered the program via Prouni or Fies	Relative frequency of entrants via Prouni or Fies		

#### **CHART 2 - Variables and measurement**

Source: Avaliação docente.

The first stage of analysis is descriptive, with the exploration of participation rates for all semesters that made up the cycle of teacher evaluations. Then, we analyze how the characteristics of students and their programs are associated with participation, through simple cross-referencing and association tests.<sup>5</sup> The first analysis focuses on the characteristics of the individuals encompassed in

<sup>5</sup> The Chi-square test expresses the degree of association between two categorical variables (in this case, participation by some characteristic of the student or her/his program). The t-test assesses the hypothesis of differences in means of different groups (in this case, participants and non-participants).

the study, in addition to providing evidence of general trends in the behavior of the variables that will be part of the explanatory model. This model corresponds precisely to the second stage of the analysis: we chose to use data modeling in order to achieve greater levels of control and identify the degree to which the characteristics of students and their programs are associated with participation in teacher evaluation.

The dependent variable is categorical, with two categories represented by zero (non-participation in the evaluation) or one (participation), therefore we used a logistic regression model. This type of analysis aims to identify explanations for the variation in student participation in the evaluation of teachers. However, because we are dealing with variables situated at two measurement levels, the hierarchical (or multilevel) logistic model was used, which allowed measuring associations both at the individual (student) and aggregate (program) levels. This type of model allows specifying each hierarchy level and then merging them into a single model, thus incorporating random effects at each level. In fact, such random effects are "random errors that represent the differences between the units at each level regarding the variable of interest in the study, even after controlling for other variables" (NATIS, 2001, p. 4). We can thus achieve greater control of variations through modeling by recognizing the different levels of the hierarchy. In other words, by specifying that the individuals in the analyzed group are aggregated by another level (i.e., the educational system that groups them by program), we are increasing our level of control over variations and any omitted variables that can influence the dependent variable precisely because of the second level's characteristics.

By analyzing data for the six semesters of teacher evaluation, which are presented in Table 1, we found that there are no significant variations in the characteristics of students across the semesters. Therefore, the analyses below will only refer to the last semester, i.e., to the evaluation carried out in the second semester of 2018. Most students are female (52.7%), aged 19 to 22 years (48.7%), and not served by public scholarship or funding programs to attend college (80.50%). Most dedicate 21 to 25 hours (30.6%) or 26 to 30 hours a week to the program (22.2%). In addition, 37.5% of students in the second semester of 2018 had completed up to 25% of the total program hours, meaning that they were in the initial stages of their undergraduate programs.

IABLE I - Fredu	uency or studen	LS CHAFA	creristics	accordin	g to the	semester	or evalua	ation					
			20	16			20	17			20	18	
STUDENTS' CHA	ARACTERISTICS	1ST SE	MESTER	2ND SE	MESTER	1ST SEM	ESTER	2ND SEN	<b>1ESTER</b>	1ST SEM	IESTER	2ND SEN	<b>1ESTER</b>
		z	%	z	%	z	%	z	%	z	%	z	%
	Non-participant	22,156	50.7	27,447	63.4	23,762	55.6	25,750	59.7	25,148	59.0	27,349	65.3
Participation	Participant	21,539	49.3	15,845	36.6	18,941	44.4	17,349	40.3	17,462	41.0	14,553	34.7
ŭ	Female	23,269	53.3	23,122	53.4	22,667	53.1	22,873	53.1	22,463	52.7	22,083	52.7
Sex	Male	20,426	46.7	20,170	46.6	20,036	46.9	20,226	46.9	20,147	47.3	19,820	47.3
	16 to 18 years	3,717	8.5	3,033	7.0	3,286	7.7	2,595	6.0	3,381	7.9	2,749	6.6
	19 to 22 years	20,344	46.6	20,848	48.2	20,309	47.6	20,779	48.3	20,172	47.4	20,391	48.7
	23 to 25 years	9,052	20.7	8,879	20.5	8,824	20.7	9,101	21.1	8,810	20.7	8,712	20.8
Age group	26 to 30 years	5,501	12.6	5,429	12.6	5,304	12.4	5,427	12.6	5,188	12.2	5,025	12.0
	31 to 40 years	3,667	8.4	3,643	8.4	3,539	8.3	3,655	8.5	3,507	8.2	3,469	8.3
	Over 40 years	1,381	3.2	1,418	3.3	1,417	3.3	1,492	3.5	1,531	3.6	1,513	3.6
	No	36,745	84.1	35,416	81.8	35,228	82.5	34,467	80.0	34,507	81.0	33,744	80.5
	Yes	6,950	15.9	7,876	18.2	7,475	17.5	8,632	20.0	8,103	19.0	8,159	19.5
	Up to 15 hours	5,082	11.6	5,232	12.1	5,068	11.9	5,621	13.0	5,575	13.1	5,922	14.1
Hours dedicated	16 to 20 hours	7,321	16.8	7,521	17.4	7,437	17.4	7,575	17.6	7,744	18.2	8,304	19.8
to the program	21 to 25 hours	13,778	31.5	12,815	29.6	13,610	31.9	13,240	30.7	13,640	32.0	12,823	30.6
per week	26 to 30 hours	11,203	25.6	11,299	26.1	10,874	25.5	10,797	25.1	10,308	24.2	9,309	22.2
	Over 30 hours	6,269	14.3	6,383	14.7	5,679	13.3	5,838	13.5	5,311	12.5	5,508	13.1
	up to 25%	17,032	39.0	15,837	36.6	16,134	37.8	16,156	37.5	16,520	38.8	15,700	37.5
	26 to 50%	11,162	25.5	11,427	26.4	10,788	25.3	10,853	25.2	10,372	24.3	10,627	25.4
Stage in the program	51 to 75%	8,372	19.2	8,772	20.3	8,788	20.6	8,699	20.2	8,555	20.1	8,169	19.5
	76 to 100%	6,439	14.7	6,717	15.5	6,495	15.2	7,004	16.3	6,774	15.9	7,097	16.9
	Over 100%	069	1.6	539	1.2	498	1.2	387	0.9	389	0.9	310	0.7

competer of evaluation ording to the i ì ov of students' characteristics 2 7 L L TARIE 1

Source: Avaliação docente, 2016-2018.

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## **RESULTS AND DISCUSSION**

High engagement levels and high response rates in online surveys are a challenge as identified by the literature (BOWLING, 2005; CARNEIRO; DIB, 2011; WACHELKE *et al.*, 2014; ANDERSON; CAIN; BIRD, 2005; FAN; YAN, 2010; MOSS; HENDRY, 2002). In our case study the scenario is not significantly different: although the response rate is higher than that obtained by many online questionnaires, it still has a potential for growth.



# GRAPH 1 - Student participation rate in teacher evaluation, 2016 to 2018

Source: Avaliação docente, 2016-2018.

Graph 1 shows the distribution of students' participation rates in the teacher evaluation in such a way that only students whose responses covered all 16 questions about teachers are considered as participants.<sup>6</sup> Here, it is worth mentioning an important caveat: these response rates are much higher than those considered expected in online survey surveys – 11% – according to Fan and Yan (2010). We believe the reason for this is that, unlike surveys conducted through online platforms and made available for anyone to access and participate, this survey focuses on the population of interest as respondents, i.e., on students

6 For each listed item, the student should give a grade from 1 to 5 to each teacher in the semester. The 16 items (or questions) are: [The teacher] (1) treats students in a respectful, egalitarian way; (2) keeps leadership in the classroom, thus promoting a learning-conducive environment; (3) is punctual about class hours; (4) is regularly present for classes; (5) works on subjects beyond class content, thus promoting a broader, more humanistic education; (6) solves students' doubts; (7) presents the course's teaching plan (content, goals, methodologies, evaluation, and bibliography); (8) distributes course content over the semester in a balanced way; (9) expounds clearly the course's content; (10) in evaluation activities, proposes questions that are consistent with the content taught; (11) discusses and analyzes evaluation results with students in the classroom; (12) keeps consistency between the syllabus/teaching plan and the content taught in the course; (13) uses different didactic resources and methodologies in the teaching-learning process; (14) distributes the evaluations according to the program's guidelines; (15) presents and follows the schedule of activities and evaluations for the course; (16) releases grades to students within deadlines.

in undergraduate in-person programs at a private higher education institution. Moreover, the university adopts several online and in-person publicizing strategies.

Table 2 shows the descriptive analyses considering the participation or non-participation of students for the second semester of 2018. With regard to individual characteristics, we can see that female students tend to participate in a greater proportion than male students. The youngest, aged between 16 to 22 years, are the students who participate most, in addition to those over 40 years old. This result shows us that the relationship between age and participation in the evaluation is not linear since the groups that proportionally participate most are at the extremes of the age distribution.

STUDENTS' CHADACTEDISTICS		NON-PARTICIPANT PARTICIPANT		CHI-SOUAPE		
STODENTS CH	ARACTERISTICS	N	%	N	%	CHI-SQUARE
Cox	Female	13,509	61.2	8,573	38.8	74410*** ~   = 1
Sex	Male	13,840	69.8	5,980	30.2	544.19 <sup>555</sup> g.i. – 1
	16 to 18 years	1,564	56.9	1,185	43.1	
	19 to 22 years	12,957	63.5	7,434	36.5	
• • • • • • • • • • • • • • • • • • • •	23 to 25 years	6,079	69.8	2,632	30.2	
Age group	26 to 30 years	3,466	69.0	1,559	31.0	235.25*** g.l. = 5
	31 to 40 years	2,320	66.9	1,149	33.1	
	Over 40 years	944	62.4	569	37.6	
Prouni or Fies	No	23,024	68.2	10,719	31.8	671 00*** ~ 1 - 1
Prouni or Fies	Yes	4,325	53.0	3,834	47.0	671.80°°° g.i. – 1
	Up to 15 hours	4,619	78.0	1,302	22.0	
Hours dedicated per week	16 to 20 hours	5,543	66.8	2,761	33.2	
	21 to 25 hours	7,738	60.3	5,085	39.7	625.15*** g.l. = 5
	26 to 30 hours	5,749	61.8	3,560	38.2	
	Over 30 hours	3,676	66.7	1,832	33.3	
	Up to 25%	9,712	61.9	5,987	38.1	
	26 to 50%	6,940	65.3	3.687	34.7	
Stage in the program	51 to 75%	5,415	66.3	2,754	33.7	210.47*** g.l. = 4
P 0	76 to 100%	5,039	71.0	2,058	29.0	
	Over 100%	243	78.4	67	21.6	

TABLE 2 - Students' characteristics according to participation in the evaluation

Source: Avaliação docente, 2<sup>nd</sup> semester, 2018.

A similar relationship is found regarding the dedication in weekly hours: students who participate in the evaluation tend to the dedication more time to the program (over 20 hours per week); however, students who dedicate over 30 hours a week tend to participate less, which may stem from less time available to conduct the evaluation. The same does not occur regarding students' stage in the program: the students who participate most in the evaluation are those in the early stages of their programs. That is, the more advanced in the program, the fewer students participate in teacher evaluation. This may be due to the greater number of tasks these students have to deal with, such as internships, work, or a matter of motivation in the sense of tangible results that they can expect from participating. In other words, since the changes that come about through evaluation processes tend to be incremental and progressive, students approaching the end of the program may tend to believe they will not benefit from such changes and, therefore, will not participate in the evaluation.

		NON-PAR	TICIPANT	PARTICIPANT		
PROGRAM CHAP	RACTERISTICS	N	%	N	%	CHI-SQUARE
	Bachelor's	26,220	65.8	13,628	34.2	
Туре	Teacher licensure	814	52.0	750	48.0	161.16*** g.l. = 3
	Technologist	205	56.6	157	43.4	
	Other	110	85.9	18	14.1	
Chift	Part-time	25,213	65.5	13,299	34.5	0.71** ~   - 1
Shift	Full-time	2,136	63.0	1,254	37.0	8.31° g.l. = 1

TABLE 3 - Program characteristics according to participation in the evaluation

	NON-PAR	TICIPANT	PARTIC	CIPANT	
	MEAN	STANDARD DEVIATION	MEAN	STANDARD DEVIATION	T-TEST <sup>a</sup>
Average age	25.53	3.48	25.36	3.29	4.799*** g.l. = 41,900
Average weekly hours	22.8	2.41	23.1	2.2	-12.630*** g.l. = 41,900
% of women	50.34	19.56	52.38	20.7	-9.959*** g.l. = 41,900
% of Prouni/Fies students	14.83	12.55	16.9	14.29	-15.277*** g.l. = 41,900

Source: Avaliação docente, 2<sup>nd</sup> semester, 2018.

Significance: \*\*\* p<0.01, \*\* p<0.05, \* p<0.1.

<sup>a</sup> Equal variances assumed with a significant F for all cases.

Concerning how students support their studies, i.e., whether or not the student is served by a government scholarship or funding program, we found that the percentage of those who participate in the evaluation is higher among students served by such programs. This can be interpreted as a proxy for students' socioeconomic conditions, which reveals greater participation in the evaluation among lower-income students. In addition, being served by such funding and scholarships may lead these students to increase their institutional commitment and prioritize participating in the evaluation. In turn, when we focus on the characteristics of the programs students are enrolled in, there is greater participation among those taking a teacher licensure program or programs classified as technological. We may attribute this to an interest in evaluating teachers by individuals who are studying to pursue teaching careers. As for the shift, we found greater participation among students enrolled in full-time programs, which can be attributed to greater exposure to news about the evaluation, given the dissemination strategies mentioned earlier.

Finally, by analyzing the averages for the continuous variables according to students' participation or non-participation, we found that students participating in the evaluation are enrolled in programs that are, on average, formed by young people, with higher average weekly class hours and higher percentages of women and students served by government programs (Prouni and Fies).

Tests of association between the variables were performed for all these analyses, and all results are statistically significant, i.e., the results of the chi-square and t-tests indicate that there is an association between student and program characteristics and student participation or non-participation in the evaluation. Therefore, based on this data analysis, we now proceed to a multivariate analysis using a hierarchical logistic model whose results are presented below.

Table 3 shows the multilevel logistic regression models where the dependent variable is the participation or non-participation in the teacher evaluation, and the independent variables are the characteristics of both the students and the programs they are enrolled in. The initial step for this analysis consisted of building a multilevel model only with the students' characteristics, but also grouping students by program. Thus, the result of Model 1 indicates that there is a significant difference between the groups, considering the constant, which means that grouping the students by program is relevant to explain participation as a second level of analysis. Therefore, we proceeded to build the second model by introducing the variables with the programs' characteristics, and we found that there is practically no change in the results regarding the students' characteristics between the two models. Considering this, it is worth mentioning an important caveat: we performed various analyses that are not presented, even controlling for the effects by semester of evaluation, but there was no relevant difference between the results. Therefore, the analysis was carried out considering only the last semester of evaluation, as shown in the following model, whose results are expressed in odds ratio.

Female	Reference	Reference
Male	0.684*** (0.0163)	0.683*** (0.0163)
16 to 18 years	Reference	Reference
19 to 22 years	0.878*** (0.0406)	0.877*** (0.0406)
23 to 25 years	0.813*** (0.0427)	0.810*** (0.0426)
26 to 30 years	0.971 (0.0553)	0.968 (0.0551)
31 to 40 years	1.227*** (0.0747)	1.224*** (0.0745)
41 years or older	1.465*** (0.109)	1.466*** (0.109)
Admission without Prouni/Fies	Reference	Reference
Prouni or Fies	1.663*** (0.0457)	1.658*** (0.0456)
up to 15 weekly hours	Reference	Reference
16 to 20 weekly hours	1.734*** (0.0725)	1.730*** (0.0724)
21 to 25 weekly hours	2.163*** (0.0886)	2.149*** (0.0881)
26 to 30 weekly hours	2.095*** (0.0922)	2.132*** (0.0941)
31 or more weekly hours	1.812*** (0.0873)	1.833*** (0.0884)
up to 25%	Reference	Reference
26 to 50%	0.892*** (0.0350)	0.888*** (0.0348)
51 to 75%	0.813*** (0.0380)	0.808*** (0.0378)
76 to 100%	0.698*** (0.0354)	0.694*** (0.0352)
Over 100%	0.653*** (0.100)	0.646*** (0.0991)
	NÍVEL 1	
Bachelor's	-	Reference
Licensure	-	2.156*** (0.641)
Technologist	-	2.307* (1.066)
Other	-	1.035 (0.986)
Part time	-	Reference
Full time	-	0.622*** (0.0525)
Age average	-	0.901*** (0.0230)
Weekly hours average	-	1.041 (0.0385)
% of women	-	0.565 (0.254)
% of Prouni/Fies students	-	0.881 (0.535)
Constant	0.273*** (0.0296)	2.429 (2.915)
Observations	41,821	41,821
Number of groups	163	163
Chi-square	1,201.58 g.l. = 15	1,265.48 g.l. = 23

## TABLE 4 - Multilevel logistic regression for participation in the teacher evaluation

Source: Avaliação docente, 2<sup>nd</sup> semester, 2018.

Standard error in parentheses. Significance: \*\*\* p<0.01, \*\* p<0.05, \* p<0.1.

By analyzing Model 2, we can see that all observed characteristics for Level 1 (student characteristics) have significant odds ratios (P <0.01), except for the 26-30

age group variable. Considering the sex variable, we found that being a female student increases the likelihood by 46.2% (0.683)<sup>7</sup> in relation to male students. With regard to the age group, we found that students aged 40 or older are the ones who participate most. In this group, chances of participating are 46.6% (1,466) higher than in the 16-18 age group (the model's reference age group). Being served by a Prouni/Fies scholarship increases by 65.8% (1,658) the chances of participation. With regard to hours dedicated to the program, the greatest likelihood is found in the 21-25 weekly hours group: in this group, the chances are 114.9% (2,149) higher than in the group who dedicates up to 15 hours per week. In relation to the percentage of program completion, we found that students in the program's early stages are more likely to participate: a student who completed up to 25% of the program is 54.6% (0.646) more likely to participate than a student who is close to complete the program (over 100%). Therefore, we found that a female student aged 40 years or older, served by a Prouni/Fies scholarship, dedicating 21 to 25 hours a week to the program, and in the early stages of the program is most likely to participate in the teacher evaluation.

Considering all the characteristics observed for Level 2 (referring to programs), we found that teacher licensure programs contribute most to student participation, students enrolled in these programs are 115.6% (2.156) more likely to participate than students enrolled in bachelor programs. We also found that program shift (i.e., part-time or full-time) can affect student participation: the chances of participation for full-time students are 60.8% (0.622) higher than for students in part-time programs. The program's average age also proved significant in terms of student participation, with an increase of 11.00% (0.901) in the chances of participation as students' average age decreases by one unit, i.e., each one-year decrease. Although the other variables were also studied, they proved not significant at Level 2.

# **FINAL CONSIDERATIONS**

The study focused on respondents' individual characteristics and their undergraduate programs' characteristics in order to understand participation in online surveys. Specifically, we sought to understand what characteristics can influence the probability of students' participation in teacher evaluation in higher education. Therefore, our goal was to identify the students' profiles that are more or less likely to participate in the evaluation.

<sup>7</sup> The values in parentheses correspond to the variable's odds ratio, found in Table 3. The interpretation in percentage points is done in two ways: (i) when the odds-ratio value is negative (smaller than one), we used (1/odds ratio) x 100; (ii) when the odds-ratio value is positive (greater than one), we used (odds ratio - 1) x 100.

Our results indicate that both individual's and course's characteristics are relevant to understand the probability of taking part in the teaching evaluation process. Female students, students over 40 years of age, and the ones served by government funding or scholarship programs are more likely to participate. Likewise, students in the early stages of their programs and who dedicate 26 to 30 hours per week to the program are also more likely to participate. Finally, students enrolled in teacher licensure programs, full-time programs, or programs with lower average age also have a greater likelihood of participating in the evaluation.

Based on these results, we found that, in addition to factors related to survey development, administration, and data collection through online surveys, it is important to explore the factors that impact respondents' decision to participate. Therefore, it is worth noting that the fact that women participate more than men, and people over 40 years of age participate more than the youth, indicates that these characteristics affect the decision to participate in teacher evaluation in higher education. The reason is that the factors related to (i) survey development (content considered and questionnaires' format), (ii) survey administration (definition of surveys' respondents public, how they will be contacted or invited, and response rate monitoring) and (iii) data collection are aimed at including the entire student body in the evaluation's process; therefore, we conclude that the factors related to the decision to participate or not in the evaluation matter.

However, it is necessary to emphasize the need to invest in a system of incentives for participation in order to increase the probability of participation also by other groups of students. In accordance with Nair, Adams and Mertova (2008), we argue for the need to reinforce the existing and new effective forms of communication with the students in order to highlight the importance of the teaching evaluation for the institution and, consequently, for the students themselves.

Finally, the study contributed to the discussion of teaching evaluation in higher education by building on this literature, in addition to providing evidence that it is important to consider the characteristics of different measurement levels. Therefore, in order to understand the dynamics of students' participation in teacher evaluation in higher education, it is necessary to look into students' and courses' characteristics. Therefore, it may be assumed that evaluation results, i.e., teachers' measured performance, should be analyzed from the same perspective – this point constitutes our research agenda.

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**NOTE:** Professor Maria Carolina Tomás outlined this article's framework, carried out the first data analysis and wrote its first version; she also followed up its reviewing and re-writing process for submission. Author Raquel Wanderley D'Albuquerque conducted the final statistical analysis and participated actively in the reviewing process from the first version to the rewriting process for submission. Author Otaviano Francisco Neves participated in the process of analyzing data and interpreting results. Author Maytê Cabral Mesquita participated in the initial writing stage and was responsible for writing the theoretical part of the first version, and participated in the reviewing process for submission. While there was a division of work, all authors participated in the article's writing and reviewing processes. Moreover, several meetings were held which were attended by all authors in order to discuss and align the article's content.

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